



**Summary of the Decisions Taken at the Meeting  
of Accounts, Audit and Risk Committee held on 27 July 2022**

<b>Agenda Item No.</b>	<b>Agenda Item</b>	<b>Decision</b>
<b>7</b>	<p><b>End of year 2021- 2022 Performance, Risk and Finance Monitoring Report</b></p> <p>Report of Assistant Director of Finance &amp; S151 Officer and Assistant Director Customer Focus Interim</p> <p><b>Purpose of report</b></p> <p>This report summarises the Council's Performance, Risk and Finance monitoring positions at the end of the Financial Year 2021-2022.</p> <p><b>Recommendations</b></p> <p>The meeting is recommended:</p> <p>1.1 To note the Performance, Risk and Finance Monitoring Report</p>	<p><b>Resolved</b></p> <p>(1) That the Performance, Risk and Finance Monitoring Report be noted.</p>
<b>8</b>	<p><b>Regulatory Compliance Report</b></p> <p>Report of Interim Monitoring Officer</p> <p><b>Purpose of report</b></p> <p>To provide the Committee with an update regulatory compliance.</p> <p><b>Recommendations</b></p> <p>The meeting is recommended:</p> <p>1.1 To consider and comment on the report.</p> <p>If the Committee has any queries on any aspect of the report that may disclose information under paragraphs 1 – 7 of Schedule 12A of Local Government Act 1972, the Interim Monitoring Officer will advise the relevant paragraph and it will be necessary for the Committee to</p>	<p><b>Resolved</b></p> <p>(1) That the Regulatory Compliance report be noted.</p>

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	<p>resolve to exclude the press and public whilst exempt information is considered</p>	
<p><b>9</b></p>	<p><b>Update on Counter Fraud Annual Plan 2021/22 and presenting the Counter Fraud Annual Plan 2022/23</b></p> <p>Report Assistant Director of Finance &amp; S151 Officer</p> <p><b>Purpose of report</b></p> <p>This report presents a summary of activity against the Annual Plan for the Counter-Fraud service at CDC for 2021/22, which was previously presented to the Accounts, Audit &amp; Risk July 2021 committee. The report also presents the new Counter Fraud Plan for the year 2022/23.</p> <p>The Plan supports the Council's Anti-Fraud and Corruption Strategy by ensuring that the Council has in place proportionate and effective resources and controls to prevent and detect fraud as well as investigate those matters that do arise.</p> <p><b>Recommendations</b></p> <p>The meeting is recommended to:</p> <p>1.1 Comment and note the summary of activity against the Annual Counter Fraud Plan for 2021/22.</p> <p>1.2 Comment and note the Counter Fraud Plan for 2022/23.</p> <p>1.3 Comment and note the Investigation Report in Annex 1.</p>	<p><b>Resolved</b></p> <p>(1) That the summary of activity against the Annual Counter Fraud Plan for 2021/22 be noted</p> <p>(2) That the Counter Fraud Plan for 2022/23 be noted.</p> <p>(3) That the Investigation Report be noted.</p>
<p><b>10</b></p>	<p><b>Internal Audit Charter</b></p> <p>Report of Assistant Director of Finance &amp; S151 Officer</p> <p><b>Purpose of report</b></p> <p>This report presents the Internal Audit Charter and Internal Audit Quality Assurance Programme for 2022/23.</p>	<p><b>Resolved</b></p> <p>(1) That after due consideration the Internal Audit Charter be approved</p> <p>(2) That the Quality Assurance and Improvement Programme be noted</p>

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	<p><b>Recommendations</b></p> <p>The committee is Recommended to:</p> <p>1.1 Approve the Internal Audit Charter.</p> <p>1.2 Note the Quality Assurance and Improvement Programme.</p>	
<p><b>11</b></p>	<p><b>Treasury Management Report - Q4 (March 2022)</b></p> <p>Report of the Assistant Director of Finance &amp; S151 Officer</p> <p><b>Purpose of report</b></p> <p>To receive information on treasury management performance and compliance with treasury management policy and Prudential Indicators for 2021-22 as required by the Treasury Management Code of Practice.</p> <p><b>Recommendations</b></p> <p>The meeting is recommended:</p> <p>1.1 To note the contents of the Q4 (March 2022) Treasury Management Report.</p>	<p><b>Resolved</b></p> <p>(1) That the contents of the Q4 (March 2022) Treasury Management Report be noted</p>
<p><b>12</b></p>	<p><b>Support to Subsidiaries</b></p> <p>Report of the Assistant Director of Finance &amp; S151 Officer</p> <p><b>Purpose of report</b></p> <p>To inform the Committee of the overall level of support provided to the Council's subsidiaries and how this is considered as part of the external audit.</p> <p><b>Recommendations</b></p> <p>The meeting is recommended:</p> <p>1.1 To note the report and raise any queries on the exempt appendix.</p>	<p><b>Resolved</b></p> <p>(1) That the report be noted.</p>
<p><b>13</b></p>	<p><b>Work Programme</b></p>	<p><b>Resolved</b></p>

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	To consider and review the Work Programme.	(1) That the work programme be noted.
<b>15</b>	<b>Support to Subsidiaries - Exempt Appendix</b>	<b>Resolved</b>  (1) That the exempt appendix be noted.